



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; padding: 5px;"> <b>(21) International Application Number:</b> PCT/US94/12426  <b>(22) International Filing Date:</b> 28 October 1994 (28.10.94)  <b>(30) Priority Data:</b>  <div style="display: flex; justify-content: space-between;"> <span>148,716</span> <span>8 November 1993 (08.11.93)</span> <span>US</span> </div> <b>(71) Applicant:</b> HUGHES AIRCRAFT COMPANY [US/US]; 7200 Hughes Terrace, Los Angeles, CA 90045 (US).  <b>(72) Inventors:</b> BATHRICK, Erwin, W.; 315 East Blossom Place, Brea, CA 92621 (US). GARBER, John, W.; 691 Santa Maria Lane, Davidsonville, MD 21035 (US). HUANG, Cheng-Chi; 7 Mountain Ash, Irvine, CA 92714 (US). KUNG, Kenneth, C.; 19029 Vickie Avenue, Cerritos, CA 90701 (US). MATTHEWS, Todd, E.; 2508 Jacaranda Street, Santa Ana, CA 92701 (US). ZUMDA, James, E.; 22136 Elsberry Way, Lake Forest, CA 92630 (US). MATTHEWS, Regina, L.; 5260 Avenida Despacio, Yorba Linda, CA 92687 (US).  <b>(74) Agents:</b> WALDER, Jeannette, M. et al.; Hughes Aircraft Company, P.O. Box 80028, Building C1, M/S A126, Los Angeles, CA 90080-0028 (US).         </td> <td style="width: 50%; vertical-align: top; padding: 5px;"> <b>(81) Designated States:</b> AU, CA, JP, KR, NO, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).   <b>Published</b>  <i>Without international search report and to be republished upon receipt of that report.</i> </td> </tr> </table>			<b>(21) International Application Number:</b> PCT/US94/12426 <b>(22) International Filing Date:</b> 28 October 1994 (28.10.94) <b>(30) Priority Data:</b> <div style="display: flex; justify-content: space-between;"> <span>148,716</span> <span>8 November 1993 (08.11.93)</span> <span>US</span> </div> <b>(71) Applicant:</b> HUGHES AIRCRAFT COMPANY [US/US]; 7200 Hughes Terrace, Los Angeles, CA 90045 (US). <b>(72) Inventors:</b> BATHRICK, Erwin, W.; 315 East Blossom Place, Brea, CA 92621 (US). GARBER, John, W.; 691 Santa Maria Lane, Davidsonville, MD 21035 (US). HUANG, Cheng-Chi; 7 Mountain Ash, Irvine, CA 92714 (US). KUNG, Kenneth, C.; 19029 Vickie Avenue, Cerritos, CA 90701 (US). MATTHEWS, Todd, E.; 2508 Jacaranda Street, Santa Ana, CA 92701 (US). ZUMDA, James, E.; 22136 Elsberry Way, Lake Forest, CA 92630 (US). MATTHEWS, Regina, L.; 5260 Avenida Despacio, Yorba Linda, CA 92687 (US). <b>(74) Agents:</b> WALDER, Jeannette, M. et al.; Hughes Aircraft Company, P.O. Box 80028, Building C1, M/S A126, Los Angeles, CA 90080-0028 (US).	<b>(81) Designated States:</b> AU, CA, JP, KR, NO, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
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<b>(54) Title:</b> PROTECTED DISTRIBUTION PROTOCOL FOR KEYING AND CERTIFICATE MATERIAL				
<b>(57) Abstract</b>  <div style="display: flex;"> <div style="flex: 1; padding-right: 20px;"> <p>Disclosed is a computer system and a method for the protected distribution of certificate and keying material between a certification authority and at least one entity in the certification authority's domain, comprising the steps of sending keying material, including a password, generated by the Certifying Authority to the entity via a secure medium; generating and protecting, by the entity, a public and a private key pair using the keying material provided it by the certifying authority; generating, protecting and sending a request for a certificate to the certifying authority using the keying material provided it by the certifying authority; requesting, by the certifying authority, that the public key and address of the entity be sent to the certifying authority; protecting and sending the public key and address of the entity to the certifying authority using the keying material provided it by the certifying authority; assembling and issuing the certificate to the entity from the certifying authority and recording the public key of the entity at the certifying authority for public use within the domain of the certifying authority.</p> </div> <div style="flex: 2;"> <div style="text-align: center; margin-bottom: 20px;"> <u>CERTIFICATE</u>            PREPARE INITIAL KEY MATERIAL FOR ENTITY         </div> <div style="text-align: center; margin-bottom: 20px;">           OPERATION:            ADD HOST ( GENERATE KEY )            WRITE SNMPcfig FILES ( WITH KEY )            SNMP REQUESTS            HOST PUBLIC KEY         </div> <div style="text-align: center;">           GENERATE CERTIFICATE            SIGN CERTIFICATE            SNMP SET CERTIFICATE            CA PUBIC KEY         </div> </div> <div style="flex: 1; padding-left: 20px;"> <div style="text-align: center; margin-bottom: 20px;"> <u>ENTITY</u>            USER ENTERS PASSWORD ( KEY MATERIAL )            WRITE SNMPcfig FILES ( WITH KEYS )            GENERATE PUBLIC/ PRIVATE KEY PAIR SEND STARTUP TRAP         </div> <div style="text-align: center; margin-bottom: 20px;">           PREPARE AND SEND PUBLIC KEY         </div> <div style="text-align: center;">           SAVE CERTIFICATE            CA PUBLIC KEY         </div> </div> </div>				

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PROTECTED DISTRIBUTION PROTOCOL  
FOR KEYING AND CERTIFICATE MATERIAL

BACKGROUND OF THE INVENTION

1. Field of the Invention

This invention relates in general to computer security systems, and, more particularly, to a computer security system and a method for the protected distribution of certificate and keying material between a certification authority and an entity in the certification authority's domain.

2. Description of the Related Art

In existing methods for distribution of certificate and keying material, the administrator must manually distribute the information to each end system (entity) and user. Administrators in the past were required to visit each system or user on the system more than once to initialize the information required to support the network security mechanism.

The certificate or keying material is used later to authenticate and to protect the communications between distributed entities. If these materials are compromised in the initial distribution, then the confidentiality and authentication services cannot be assured during further operation.

This manual distribution system is further fraught with difficulties in maintaining security in the physical transportation of the keying materials between the Certification Authority and the various entities, and with the consequent time lag mandated by the actual wait times involved in moving from one entity to the other. All during this setup time, the various entities are denied access to the protected data for which they may have an immediate need.

The present invention meets and overcomes this problem of maintaining security during the transfer of the keying

1 materials between entities and shortens the time during  
2 which access is denied an otherwise authorized entity to a  
3 minimum.

4 The present invention reduces the required visits  
5 needed to install the necessary security access software to  
6 a single visit by using a password (shared secret) to  
7 generate the essential keying material to be used for both  
8 integrity and encryption services to protect the data  
9 necessary for authentication and network security protocol  
10 protection.

#### 11 OBJECTS AND SUMMARY OF THE INVENTION

12 Therefore, it is an object of the present invention to  
13 provide a computer security network system and a method for  
14 the protected distribution of certificate and keying  
15 material between a certification authority and an entity in  
16 the certification authority's domain.

17 It is still another object of the present invention to  
18 provide a method and system that quickly provides  
19 authorized users control of their data.

20 It is another object of the present invention to  
21 provide a method and system that facilitates, rather than  
22 prevents, the establishment of encoded public and private  
23 key data or documents classified at different security  
24 levels.

25 The present invention provides a computer system and  
26 a method for the protected distribution of certificate and  
27 keying material between a certification authority and an  
28 entity in the certification authority's domain by  
29 establishing a shared secret and using it to protect the  
30 data transferred between the entity and the certifying  
31 authority.

32 The novel features of construction and operation of  
33 the invention will be more clearly apparent during the  
34 course of the following description, reference being had to  
35 the accompanying drawings wherein has been illustrated a  
36 preferred form of the device of the invention and wherein

1 like characters of reference designate like parts  
2 throughout the drawings.

### 3 BRIEF DESCRIPTION OF THE FIGURES

4 FIGURE 1 is a block diagram flowchart showing the  
5 general overall logic flow through a system incorporating  
6 the present invention.

### 7 DESCRIPTION OF THE PREFERRED EMBODIMENT

8 A preferred form of the invention as embodied in a  
9 method and computing system for providing for the protected  
10 distribution of certificate and keying material between a  
11 certification authority and an entity in the certification  
12 authority's domain by establishing a shared secret and  
13 using it to protect the data transferred between the entity  
14 and the certifying authority.

15 In general, as shown in FIGURE 1, the invention is  
16 found in a computer system operating over a network in  
17 accord with the following steps outlined below in detail to  
18 provide for the protected distribution of certificate and  
19 keying material between a certification authority and at  
20 least one entity in the certification authority's domain.

21 The certifying authority begins by generating and  
22 sending keying material, including a password, to the  
23 subject entity via a first secure communications medium.  
24 In this instance, the most secure communications medium is  
25 a non-electronic medium, such as a manual courier, secure  
26 mail or other secure communications medium that is distinct  
27 from the computer system over which the keying material is  
28 to be used as described later in authenticating the entity  
29 to the certifying authority.

30 Once the entity receives the keying material from the  
31 certifying authority, it then generates a public and a  
32 private key pair and protects the public key using the  
33 keying material provided it by the certifying authority.

34 The entity now generates and protects a request for a  
35 certificate to the certifying authority by using the keying

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1 material provided it by the certifying authority. Once  
2 generated and protected, the request is sent to the  
3 certifying authority via a second secure communications  
4 medium connecting the certifying authority with the  
5 entities in its certifying domain.

6 Once the certifying authority receives the request  
7 from the entity, the certifying authority authenticates the  
8 identity of the requesting entity. This is done by  
9 requesting, via the second secure communications medium,  
10 that the public key and address of the entity be sent to  
11 the certifying authority.

12 The requesting entity, having received the  
13 authentication request from the certifying authority,  
14 protects the transmission of its selected public key and  
15 address to the certifying authority via the second secure  
16 communications medium, by using the keying material  
17 provided by the certifying authority.

18 Once the identity of the requesting entity is  
19 confirmed, the certifying authority then assembles and  
20 issues the requested certificate to the entity via the  
21 second secure communications medium, and records the public  
22 key of the entity at the certifying authority for public  
23 use by other entities within the certifying domain of the  
24 certifying authority.

25 The invention described above is, of course,  
26 susceptible to many variations, modifications and changes,  
27 all of which are within the skill of the art. It should be  
28 understood that all such variations, modifications and  
29 changes are within the spirit and scope of the invention  
30 and of the appended claims. Similarly, it will be  
31 understood that Applicant intends to cover and claim all  
32 changes, modifications and variations of the example of the  
33 preferred embodiment of the invention herein disclosed for  
34 the purpose of illustration which do not constitute  
35 departures from the spirit and scope of the present  
36 invention.

**WHAT IS CLAIMED IS:**

1           1. A method for the protected distribution of  
2 certificate and keying material between a certification  
3 authority and at least one entity in the certification  
4 authority's domain via a communications medium connecting  
5 the certification authority and entities in its domain,  
6 comprising the steps of:

7           sending keying material, including a password,  
8 generated by the certifying authority to the entity via a  
9 first secure communications medium;

10           generating and protecting, by the entity, a public and  
11 a private key pair using the keying material provided the  
12 entity by the certifying authority;

13           generating, protecting and sending via a second secure  
14 communications medium a request for a certificate to the  
15 certifying authority using the keying material provided the  
16 entity by the certifying authority;

17           requesting, by the certifying authority via the second  
18 secure communications medium, that the public key and  
19 address of the entity be sent to the certifying authority;

20           protecting and sending the public key and address of  
21 the entity to the certifying authority via the second  
22 secure communications medium using the keying material  
23 provided it by the certifying authority;

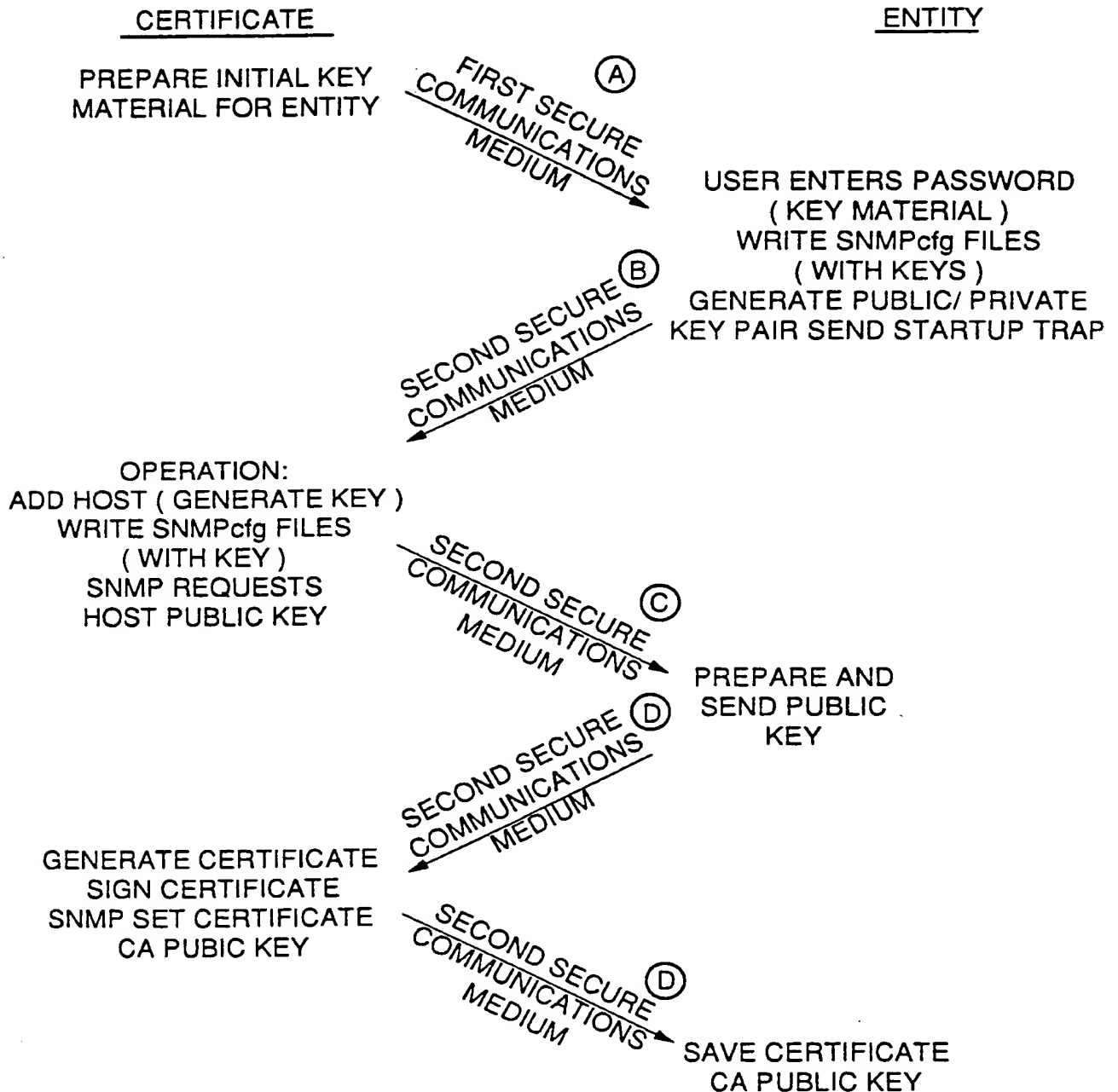
24           assembling and issuing the certificate to the entity  
25 from the certifying authority via the second secure  
26 communications medium and recording the public key of the  
27 entity at the certifying authority for public use within  
28 the domain of the certifying authority.

1           2. The method of claim 1 wherein said step of sending  
2 keying material, including a password, generated by the  
3 certifying authority to the entity via a first secure  
4 communications medium further includes the step of:  
5 selecting the first secure communications medium that is  
6 separate and independent from the second secure  
7 communications medium.

1           3. The method of claim 1 wherein said step of sending  
2           keying material, including a password, generated by the  
3           certifying authority to the entity via a first secure  
4           communications medium further includes the step of:  
5           selecting a non-electronic transmission medium for the  
6           first secure communications medium.



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(54) Title: PROTECTED DISTRIBUTION PROTOCOL FOR KEYING AND CERTIFICATE MATERIAL

## (57) Abstract

Disclosed is a computer system and a method for the protected distribution of certificate and keying material between a certification authority and at least one entity in the certification authority's domain, comprising the steps of sending keying material, including a password, generated by the Certifying Authority to the entity via a secure medium; generating and protecting, by the entity, a public and a private key pair using the keying material provided it by the certifying authority; generating, protecting and sending a request for a certificate to the certifying authority using the keying material provided it by the certifying authority; requesting, by the certifying authority, that the public key and address of the entity be sent to the certifying authority; protecting and sending the public key and address of the entity to the certifying authority using the keying material provided it by the certifying authority; assembling and issuing the certificate to the entity from the certifying authority and recording the public key of the entity at the certifying authority for public use within the domain of the certifying authority.

CERTIFICATEPREPARE INITIAL KEY  
MATERIAL FOR ENTITYFIRST SECURE  
COMMUNICATIONS  
MEDIUM (A)ENTITYUSER ENTERS PASSWORD  
( KEY MATERIAL )  
WRITE SNMPcpg FILES  
( WITH KEYS )  
GENERATE PUBLIC/ PRIVATE  
KEY PAIR SEND STARTUP TRAPSECOND SECURE  
COMMUNICATIONS  
MEDIUM (B)OPERATION:  
ADD HOST ( GENERATE KEY )  
WRITE SNMPcpg FILES  
( WITH KEY )  
SNMP REQUESTS  
HOST PUBLIC KEYSECOND SECURE  
COMMUNICATIONS  
MEDIUM (C)PREPARE AND  
SEND PUBLIC  
KEYSECOND SECURE  
COMMUNICATIONS  
MEDIUM (D)GENERATE CERTIFICATE  
SIGN CERTIFICATE  
SNMP SET CERTIFICATE  
CA PUBLIC KEYSECOND SECURE  
COMMUNICATIONS  
MEDIUM (E)SAVE CERTIFICATE  
CA PUBLIC KEY

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A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H04L9/32 H04L9/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>COMPUTERS &amp; SECURITY INTERNATIONAL JOURNAL DEVOTED TO THE STUDY OF TECHNICAL AND FINANCIAL ASPECTS OF COMPUTER SECURITY., vol. 11, no. 2, April 1992 AMSTERDAM NL, pages 173-183, XP 000245841 R.LAUN 'ASYMMETRIC USER AUTHENTICATION' see figures 1,3 see page 178, right column, line 23 - page 179, left column, line 10 see page 178, left column, line 5 - line 27 see page 177, left column, line 34 - right column, line 28</p> <p style="text-align: center;">---</p> <p style="text-align: center;">-/--</p>	1

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

16 January 1996

Date of mailing of the international search report

12.02.96

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Lydon, M

## C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 4 723 284 (MUNCK ET AL.) 2 February 1988 see column 3, line 52 - column 4, line 36 see column 5, line 44 - line 62 see figure 1 -----	1

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No.

PCT/US 94/12426

Patent document  
cited in search reportPublication  
datePatent family  
member(s)Publication  
date

US-A-4723284

02-02-88

NONE